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TO: Examiner Ella Colbert Fax No.: 703 872 9306 Telephone No.: 703 308 7064  
Art Unit 3624,  
U.S. Patent and Trademark Office City: Alexandria State: Virginia

CONCERNING APPLICATION:

Applicant(s): Michael D. Erlanger  
Serial No.: 09/370,619 Art Unit: 3624  
Filed: August 7, 1999 Examiner: Ella Colbert  
Title: EFFICIENT MARKET FOR FINANCIAL PRODUCTS


I hereby certify that the attached

- This FAX cover sheet
- Response to Restriction Requirement

along with any paper(s) referred to as being attached or enclosed) are being transmitted by facsimile on August 9, 2004 to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: August 9, 2004

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**OFFICIAL**

Serial No.: 09/370,619  
Applicant: Michael D. Erlanger  
Title: EFFICIENT MARKET FOR FINANCIAL PRODUCTS  
Filed: August 7, 1999  
Art Unit: 3624  
Examiner: Ella Colbert  
  
Atty Docket: 114459-05  
Customer No. 38492

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## RESPONSE TO RESTRICTION REQUIREMENT

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## REMARKS

Applicant responds to the Restriction Requirement of July 2004 as follows.

Applicant elects Group 1 (claims 186, 287-297), with traverse.

**I. Because The Claims Have Previously Been Searched and Examined Together, Restriction Is Not Now Proper**

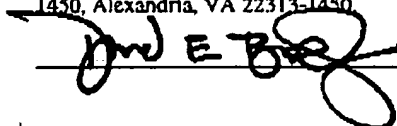
MPEP § 704.01 instructs as follows:

**PREVIOUS EXAMINER'S SEARCH**

When an examiner is assigned to act on an application which has received one or more actions by some other examiner, full faith and credit should be given to the search and action of the previous examiner unless there is a clear error in the previous action or knowledge of other prior art.

The claims now pending are substantially similar to claims 39-157 were searched and examined together as a single invention in the Office Action of October 2002. The correspondence between claims 39-157 and the claims now pending is explained at page 24 of

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Response (August 9, 2004) to Restriction Requirement  
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